## Request for Proposals for Primary Research for the Data on Youth and Tobacco in Africa (DaYTA) program in Nigeria



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## Introduction

#### **Project overview**

The Data on Youth and Tobacco in Africa (DaYTA) program led by Development Gateway: An IREX Venture (DG), with support from The Bill and Melinda Gates Foundation (BMGF) aims to supply sub-Saharan African (SSA) governments with improved access to country-specific data that will inform better tobacco control policy design and implementation. This program will design and implement research to address key data gaps with respect to tobacco use among young people in Nigeria. This information will be showcased to meet the needs of government stakeholders, as well as civil society and academia. The purpose of this Request for Proposals (RFP) is to identify data collection firms to conduct primary quantitative research on tobacco use in adolescents aged 10 to 17 years in Nigeria. Strong preference will be given to firms who have previously worked in these national contexts, especially with youth.

#### **Company background**

DG is an international nonprofit organization that creates innovative information management and data visualization technology, implements data-focused programs, and conducts research and evaluation to further sustainable development. DG supports public and private sector actors in collecting, analyzing and using data in the health sector for policymakers and development agencies to make more effective decisions and to improve service delivery. Our partners in this work include institutions such as BMGF, UNICEF, USAID, and MCC. DG is headquartered in Washington, DC, and is exempt under Section 501(c)(3) of the Internal Revenue Code. A more complete overview of DG can be found at http://www.developmentgateway.org.

During DG's implementation of the BMGF-funded Tobacco Control Data Initiative (TCDI) program, which builds dashboards, consolidates relevant adult data, and funds primary research to fill data gaps, we have become increasingly aware of the dearth of data on tobacco use among young people. The DaYTA project builds upon the relationships that DG's in-country coordinators have established across essential national ministries—health, agriculture, commerce, and finance—recognizing that tobacco control requires a whole-of-government approach.

DG is looking for firm(s)/consortia to join the DaYTA research consortium that will comprise DG and key national and regional stakeholders from institutions such as (a) Ministries of Health, (b) tobacco control advocacy organizations, including youth advocacy organizations, (c) academic researchers, and (d) representatives from youth member organizations.

## Scope of work

The goal of this work is to collect bespoke country-level data in Nigeria on tobacco use among adolescents aged 10 to 17 years to fill key evidence gaps and complement existing data. To ensure the proper balance between country-specific and program-wide data needs, we are recruiting the following:

1. Firm(s) or consortia to collect country-level data in Nigeria

#### **Research questions**

The proposed research should address the following main research questions:

- 1. What is the prevalence of tobacco use among adolescents aged 10 to 17 years in Nigeria?
- 2. What are the multi-level (e.g., individual-, household- and environment-level) factors associated with all forms of adolescent tobacco use (i.e., smoked and smokeless tobacco) in Nigeria?

In addition to socio-demographic characteristics (such as age, sex, school year (if in school), average weekly spending money), core modules should include:

- Tobacco use (for both smoked and smokeless tobacco, including shisha and emerging tobacco products such as heated tobacco products), including type, quantity, frequency, dependency, age of initiation, where adolescents smoke, and with whom. This data will enable us to compute the prevalence of tobacco use and other important estimates.
- Use of novel products such as electronic nicotine/non-nicotine delivery systems and
  nicotine pouches. These novel nicotine products are gaining popularity worldwide,
  especially among adolescents and young adults. Evidence shows a strong association
  between the use of these products and being an ever-smoker or having recently smoked

combustible cigarettes<sup>1,2</sup>. Unfortunately, data on these products are not routinely collected in every country; it is optional for countries to collect this data in the Global Youth Tobacco Survey (GYTS), the current main source of data related to tobacco use by young people.

- Access to tobacco and novel products (e.g., how adolescents access products, where, and for how much).
- Multi-level (e.g., individual-, household- and environment-level) factors associated with tobacco use among adolescents, such as in-school/out-of-school, parents/guardians/other family members' tobacco use histories, exposure to second-hand tobacco smoke within the home, or tobacco use amongst close friends, exposure to tobacco advertising, promotion or sponsorship, and exposure to anti-tobacco messages.

Optional modules will include the following:

- Self-reported exposure to tobacco smoke in indoor and outdoor public places
- Knowledge, attitudes, and perceptions about using tobacco and novel products and perceived consequences

In addition to the main findings, the data produced should be amenable to disaggregation to the extent possible, including by type of product, gender, in-school/out-of-school, rural/urban and socioeconomic differences. The research should also prioritize adolescent involvement in the design and testing of research protocols and data collection tools.

#### **Country-level data collection**

## **Research approach**

Applicant firms should propose a study design that allows for the generation of a nationally representative estimate in Nigeria. They should include a detailed sample size calculation, and

<sup>&</sup>lt;sup>1</sup> Erinoso O, Oyapero A, Amure M, Osoba M, Osibogun O, et al. (2021) Electronic cigarette use among adolescents and young adults in Nigeria: Prevalence, associated factors and patterns of use. PLOS ONE 16(10): e0258850. <u>https://doi.org/10.1371/journal.pone.0258850</u>

<sup>&</sup>lt;sup>2</sup> Conner, M., Grogan, S., Simms-Ellis, R., Cowap, L., Armitage, C. J., West, R., Marshall, A.-M., and Siddiqi, K. (2021) Association between age at first reported e-cigarette use and subsequent regular e-cigarette, ever cigarette and regular cigarette use. Addiction, 116: 1839–1847. <u>https://doi.org/10.1111/add.15386</u>

sampling and recruitment strategy outlining how they plan to identify, recruit, and/or incentivize survey participants. The firms should also outline their plans for recruiting and training research staff; data collection procedures (including data collection platforms and any software to be used); data management plan including quality assurance and control; data analysis plan; timelines including milestones and deliverables; and ethical considerations. We also expect that applicants will include, in their application, details of any assumptions made and justification of their proposed methods.

## **Proposed activities**

DG invites data collection companies (or consortiums) to submit a proposal that develops a data collection methodology in accordance with the instructions in this RFP. The successful firm/consortium will have the capacity to design and implement a robust research design to answer the key research questions and provide data on the core modules in Nigeria. This will include:

- Developing country-specific study protocols detailing design; methods; sample size; procedures for sampling and stratification, participant enrolment, data collection, security/confidentiality protocols, data quality assurance including data verification/validation, data analysis, reporting; ethical considerations; and timelines including milestones and deliverables;
- Developing country-specific data collection tools including pilot testing, and translations where relevant;
- Study implementation including obtaining ethics and other relevant approvals, participant enrolment and data collection. Data collection will include reaching out-of-school and potentially vulnerable youth with sensitivity and adherence to ethical guidelines;
- Ensure data collection is of high quality through verification and validation procedures during and after data collection. The firm/consortium must document and consistently carry out data quality checks during fieldwork and when entering and transferring data;
- Data cleaning and analysis;

- Recruit and provide logistical and administrative support to survey teams during fieldwork; this will include any transportation, communication allowance, internet connection, etc., as necessary;
- Produce detailed data analysis and field report and summary of results reports, successfully address substantive comments from independent experts;
- Present high-level findings to the DaYTA team and other stakeholders; and
- Author peer-reviewed journal publications.

This work will be carried out in close collaboration with the DaYTA team and other stakeholders. The final methodology may be adjusted based on conversations between the DaYTA team and the vendor(s).

## Requirements

The successful firm(s) or consortium will have the following qualifications and experience:

- Demonstrated experience designing, leading and conducting quantitative epidemiological research including nationally representative or large-scale cross-sectional surveys in Nigeria. Where the firm is not local, a consortium that includes a local data collection firm with previous experience in large-scale efforts will be preferred;
- Demonstrated experience conducting adolescent- or youth-focused research;
- Proven experience designing quantitative data collection tools, including translating and back-translating survey tools into local languages;
- Demonstrated experience managing and analyzing large quantitative datasets, including ensuring data integrity;
- Extensive experience with ethics and other country-specific approval processes; familiar with standards and procedures for informed consent and data protection/privacy, preferably for research involving adolescents;
- Demonstrated experience writing research reports, including peer-reviewed journal publications;
- Excellent collaborative spirit, ability to engage positively with consortium partners including high-level government stakeholders with a constructive and solution-oriented attitude;

- Demonstrated capacity to deliver in the agreed-upon timeframe;
- No previous history working with or for the tobacco industry; and
- Understanding of the relevant data protection legislation.

## **Technical approach**

The technical approach should respond in detail to the request for proposals in line with the instructions below:

- Understanding of the assignment including the problem being addressed and scope of work;
- A clear statement of the country or countries covered in the proposal;
- Overall of study design and details of the methods including the following:
  - Sample size. The narrative should clearly state any assumptions made, for example: response rates and any adjustments made for potential missing data;
  - Sampling procedures for data collection sites. The procedures for identifying/ recruiting/enrolling adolescents into the study should also be described. This narrative account should describe how national representativeness would be achieved (e.g., by gender, age, in school/out of school, rural/urban, and socioeconomic status). If proposing to use a sampling frame describe in enough detail why it is appropriate and how it will be used;
  - Data collection and recording procedures: including data collection tools, software, technology, etc. This should include any details of procedures used to enhance response rates and data completeness;
  - An indication of the data/variables that will be collected;
  - Data analysis plan;
  - Data verification and validation;
  - A data management plan, including details of the plan for data storage, access, cleaning, and transfer;
  - Recruitment and training of data collectors;
  - Ethical considerations including informed consent and data confidentiality/security procedures; and obtaining ethics and other approvals;
  - Timelines including milestones and deliverables;

- Suggested processes for managing logistics (recruitment, training, etc.), including a summary of procedures in case of adverse events that may occur as a result of data collection on the ground, and contingency plans;
- A dissemination plan including research reports, peer-reviewed manuscripts, policy briefs and dissemination workshops; and
- An indication how they intend to engage the government in design and implementation of the research and dissemination of outputs and to ensure sustainability of these activities.

## Technical qualifications (attachment I)

- Technical capacity overview, including a description of the organization's structure and experience, how the expected qualifications and experience described under 'requirements' are met, and examples of past similar projects;
- Affirmative statement that the firm is independent of the DaYTA and TCDI teams;
- Affirmative statement that the firm has never received money from the tobacco industry, or any of the tobacco industry's affiliate organizations;
- Statement of the size of the firm, the size of the firm's technical staff, the location of the office from which the work on this project will be performed;
- List of the names, qualifications, and CVs of the key personnel to be employed on this project, their roles and responsibilities and the anticipated level of effort;
- List of references for cited work relevant to this proposal including the names and telephone numbers of these clients;
- Copy of the firm's corporate business registration and evidence of any necessary insurance for key personnel as well as the firm's plan to provide insurance coverage for individuals who will be hired for data collection;
- Copy of the firm's last audited accounts or a description of previous studies carried out and their budget.

The firm that is hired will be responsible for all necessary insurance for all staff contracted to work on this assignment – evidence should be noted in the contract. The contract will require an

agreement to indemnify DG against any and all medical and ancillary costs that DG may incur on behalf of the firm in any form.

## Budget (attachment II)

In this attachment, please include a budget for the activities proposed in the technical approach. This should include a summary of expenses for personnel and any travel costs. Please note that due to funder requirements, administrative costs must be limited to 15%. Identify all pricing information broken down by activity in USD. The value and transparency in the budget proposal are worth 18% of the proposal's evaluation score.

## Sample field reports or executive summaries (attachment III)

In the attachment, provide up to two sample field reports, executive summaries, etc. of previous surveys conducted (as allowed by previous contractual agreements). Do not submit more than 6 pages for this section.

## **Proposal submission instructions**

Instructions for proposal submission are included below. Information about your company's required lead time, if you receive this assignment, should also be included. Proposals should be submitted in English.

The following material should be received by 16 June 2023 at 23:59 UTC for consideration:

- 1. Technical Approach; not to exceed 10 pages in length
- 2. Technical Qualifications (Attachment I); not to exceed 5 pages in length
- 3. Budget (Attachment II)
- 4. Sample Field Reports or Executive Summaries from prior projects (Attachment III); not to exceed 6 pages in length

Please email your proposal to dayta@developmentgateway.org with the subject line "RFP for DaYTA in Nigeria Data Collection".

Questions may also be sent to dayta@developmentgateway.org at any time before 16 June 2023.

### **Evaluation process**

During the evaluation process, DG reserves the right to request additional information and/or clarification or to allow corrections of errors or omissions. A firm may be disqualified from further consideration upon failure to respond in a timely fashion to DG with the requested information (e.g. within 5 business days). At the discretion of DG, firms submitting proposals may be requested to make oral presentations as part of the evaluation process.

#### **Evaluation**

Proposals will be evaluated by members of the DaYTA Team from 19-23 June 2023. The successful firm(s) will be notified the week of 26 June 2023. Contract negotiations will take place following notification, and continue as needed.

#### **Firm selection**

The award will be made to a firm whose offer strictly adheres to the instructions within this RFP, meets or exceeds the minimum required specifications of the project, and whose offer is judged to be technically acceptable.

Firms that have previously been hired by or received funds from the tobacco industry or largely tobacco industry-funded organizations are ineligible for selection. If you are unsure if you qualify, please feel free to reach out to the DG contacts.

DG reserves the right to negotiate the exact terms of the contract. Both parties agree to negotiate in good faith to reach a mutual agreement. Work is expected to begin in July 2023.

DG is an equal opportunity employer and expects those we work with to prioritize equity in recruiting—as much as possible—women/other marginalized groups.

The Component 1 proposals will be scored based on the following criteria:

Topic Area	Points
Overall study design	10
Sample size considerations	10
Proposed sampling & recruitment methodology	20
Survey tool development	10
Data collection plan	30

Topic Area	Points
Data analysis plan	15
Data management plan	10
Ethical considerations	10
Logistical support	5
Prior experience	20
Budget	30
Total Points	170

#### **Right to reject proposals**

DG reserves the right to refuse any or all proposals and to provide to each participating firm their award status only, without further explanation of evaluation.

#### Confidentiality

Given the sensitive nature of the data and information to be shared with the successful applicant, care should be taken to protect such information. This information submitted to DG will not be communicated to any other party.

#### Intellectual property/licensing

Upon completion of the project, the raw datasets that are generated will become the property of Development Gateway, with the provision that the datasets be made available to the public for unrestricted access and reuse. This approach is consistent with the Open Access Policy of The Bill and Melinda Gates Foundation.

#### Price, terms and conditions

By submitting its proposal, the firm certifies that:

- The prices in its proposal have been arrived at independently, without consultation, communications, or agreement for the purpose of restricting competition as to any matter relating to such prices with any other firm submitting a proposal or with any competitor;
- Unless otherwise required by law, the prices quoted in the proposal have not been knowingly disclosed prior to the due date for proposals, directly or indirectly, to any other firm or to any competitor unless that other firm is part of a consortium; and

 No attempt has been made or will be made to induce any other person or firm to submit a proposal or to take or refrain from any action for the purpose of restricting competition.

Please note that DG will not be responsible for expenses incurred in preparing the proposal. Such costs should not be included in the proposal. If it should become necessary for DG to request the contractor to render any additional services to either supplement the services requested in this RFP or to perform additional work as a result of the specific recommendations included in any report issued on this engagement, the additional work shall be performed only if set forth in an addendum to the contract between DG and the firm. If the firm identifies additional services or costs needed to complete the agreed-upon scope of work in the contract, the firm cannot charge for such additional work without first obtaining approval from DG in writing.

The final price of the services and payment schedule will be agreed upon prior to contract execution.

For Component 1 activities, the anticipated payment schedule will be structured as follows:

- **20%** at contract signing.
- 20% upon delivery of Deliverable 1: Country-specific study protocols detailing design; methods; sample size; procedures for sampling and stratification, participant enrolment, data collection, security/confidentiality protocols, data quality assurance including data verification/validation, data analysis, reporting; ethical considerations; and timelines including milestones and deliverables.
- 20% upon delivery of Deliverable 2: Finalized country-specific data collection tools.
- 20% upon delivery of Deliverable 3: Data analysis and report. This should include details of any deviations from the original study protocol with justification, challenges and resolution of issues.
- 10% upon delivery of Deliverable 4: Complete/cleaned dataset of survey results that must be in a widely accepted format (e.g., Excel) that can be imported for data analysis. The original dataset will also need to be submitted. Code used to clean and analyze the data and metadata describing the dataset and all variables in the dataset are also required (e.g., Stata or R code).

• **10**% upon delivery of Deliverable 5: Presentation of high-level findings in PowerPoint format to the DaYTA team and their key stakeholder

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For information or inquiries, please contact us at info@developmentgateway.org

Development Gateway, Inc. 1100 13th Street, NW, Suite 800 Washington, DC 20005 USA +1 202 572-9200



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